

2812



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PTO/SB/21 (08-00)

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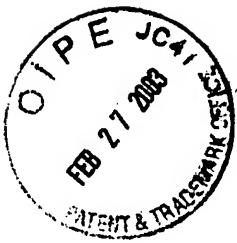
<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	10/065,302
	Filing Date	October 1, 2002
	First Named Inventor	Neil Hendricks
	Group Art Unit	2812
	Examiner Name	TBA
Total Number of Pages in This Submission	Attorney Docket Number	ATMI-466

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Communication
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Margaret Chappuis, Reg. No. 45, 735
Signature	<i>Margaret Chappuis</i>
Date	February 20, 2003

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited pursuant to 37 C.F.R. 1.8 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>2/21/03</u>	
Type or printed name	Lee Ann DiLello
Signature	<i>Lee Ann DiLello</i>
Date	<u>2/21/03</u>

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ATMI-466  
Customer ID No.: 25559

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Neil Hendricks

Group Art Unit: 2812

U.S. Application No.: 10/065,302

Examiner: TBA

Filed: October 1, 2002

Title: COMPOSITION AND CHEMICAL VAPOR DEPOSITION METHOD FOR  
FORMING ORGANIC LOW K DIELECTRIC FILMS

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Lee Ann DiLello

2-21-03

Date

**COMMUNICATION**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

A review of the Applicants filed revealed a typographical error in the Information Disclosure Statement filed for the above identified application on January 15, 2003. A copy of which is attached.

Applicants identified an incorrect U.S. serial number in the Information Disclosure Statement filed on January 15, 2003. Applicants identified the Serial No. as 10/065,303 and should have read 10/065,302. Please disregard the Information Disclosure Statement filed on January 15, 2003 and replace it with the enclosed corrected Information Disclosure Statement.

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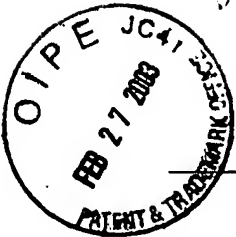
Applicant does not believe that any fee is due in connection with the foregoing. However, any deficiencies may be charged to the deposit account 50-0860.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Margaret Chappuis".

Margaret Chappuis  
Registration No. 45,735  
Agent for Applicant

Date: February 20, 2003  
Advanced Technology Materials, Inc.  
7 Commerce Drive  
Danbury, CT 06810  
Agent Ref: 466



ATMI-466  
Customer ID No.: 25559

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Neil Hendricks

Group Art Unit: 2812

U.S. Application No.: 10/065,302

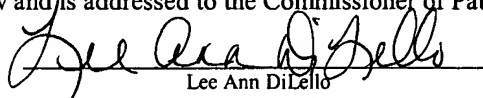
Examiner: TBA

Filed: October 1, 2002

Title: COMPOSITION AND CHEMICAL VAPOR DEPOSITION METHOD FOR FORMING  
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**CERTIFICATE OF MAILING**

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

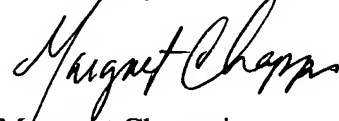
Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required in accordance with 37 CFR § 1.56 and § 1.97(b).
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application, which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ c. Attached is our check no. \_\_\_\_ in the amount of \$240 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 50-0860 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no. \_\_\_\_ in the amount of \$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No. \_\_\_\_ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ 4. (other):

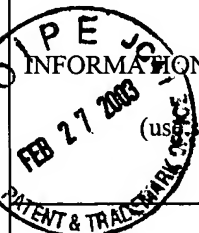
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Margaret Chappuis  
Registration No. 45,735  
Agent for Applicant

Date: February 20, 2003  
Advanced Technology Materials, Inc.  
7 Commerce Drive  
Danbury, CT 06810  
Agent Ref: 466

FORM PTO-1449		US Dept. of Commerce Patent and Trademark Office		ATTORNEY DOCKET NO. ATMI-466		SERIAL NO. 10/065,302	
 INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)				APPLICANT Neil H. Hendricks			
				FILING DATE October 1, 2002		GROUP 2812	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,204,314	4/20/1993	Kirlin, et al.			
	AB	5,536,323	7/16/1996	Kirlin, et al.			
	AC	5,711,816	1/27/1998	Kirlin, et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION YES NO
							X (abstract only)
<b>OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)</b>							
Continue on Page							
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							